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PTO/SB/03 (4/98)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

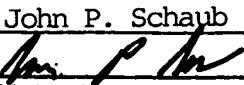
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. SUN-P4174

First Inventor or Application Identifier Judith E. Schwabe

Title Remote Incremental Program Binary...

Express Mail Label No. EL575422709US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: <small>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</small>																													
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)																													
2. <input checked="" type="checkbox"/> Specification [Total Pages] 82 <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ol style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (Identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 																													
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets] 34		ACCOMPANYING APPLICATION PARTS																													
4. Oath or Declaration [Total Pages] 4 <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.83(d)) <small>(for continuation/divisional with Box 16 completed)</small> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application, see 37 C.F.R. §§ 1.83(d)(2) and 1.33(b). 		7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))																													
		8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of <small>(when there is an assignee)</small> <input checked="" type="checkbox"/> Attorney																													
		9. <input type="checkbox"/> English Translation Document (if applicable)																													
		10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS <small>Statement (IDS)/PTO-1449</small> <input type="checkbox"/> Citations																													
		11. <input type="checkbox"/> Preliminary Amendment																													
		12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> <ul style="list-style-type: none"> • Small Entity <input type="checkbox"/> Statement filed in prior application. <small>(PTO/SB/03-12)</small> <input type="checkbox"/> Status still proper and desired 																													
		13. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>																													
		14. <input type="checkbox"/> Other: _____																													
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<small>NOTE FOR MEMBERS OF SMALL ENTITY: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED ON CLEAR 3.73(b), EXCEPT IF ONE PRIORITY APPLICATION IS RELIED UPON (37 C.F.R. § 1.78)</small>																															
16. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ <small>Prior application information: Examiner _____ Group/Art Unit _____</small>																															
<small>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</small>																															
17. CORRESPONDENCE ADDRESS																															
<input type="checkbox"/> Customer Number or Bar Code Label or <input type="checkbox"/> Correspondence address below <small>(Insert Customer No. or Attach bar code label here)</small>																															
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;">Name</td> <td colspan="3">David B. Ritchie</td> </tr> <tr> <td colspan="4">D'Alessandro & Ritchie</td> </tr> <tr> <td style="width: 25%;">Address</td> <td colspan="3">P. O. Box 640640</td> </tr> <tr> <td style="width: 25%;">City</td> <td>San Jose</td> <td>State</td> <td>CA</td> </tr> <tr> <td style="width: 25%;">Country</td> <td>USA</td> <td>Telephone</td> <td>408-441-1100</td> </tr> <tr> <td style="width: 25%;"> </td> <td> </td> <td>Zip Code</td> <td>95164-0640</td> </tr> <tr> <td style="width: 25%;"> </td> <td> </td> <td>Fax</td> <td>408-441-8400</td> </tr> </table>				Name	David B. Ritchie			D'Alessandro & Ritchie				Address	P. O. Box 640640			City	San Jose	State	CA	Country	USA	Telephone	408-441-1100			Zip Code	95164-0640			Fax	408-441-8400
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Name (Print/Type): John P. Schaub		Registration No. (Abn/My/Ind): 42,125																													
Signature: 		Date: 9/14/00																													

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09/14/00
09/661684

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Judith E. Schwabe

Serial No.: [Not yet assigned] This application is a non-provisional patent application of provisional application Serial No.: 60/200,480 filed April 28, 2000.

Filed: September 14, 2000

For: REMOTE INCREMENTAL
PROGRAM BINARY COMPATIBILITY
VERIFICATION USING API
DEFINITIONS

Art Unit: Not yet assigned

Examiner: Not yet assigned

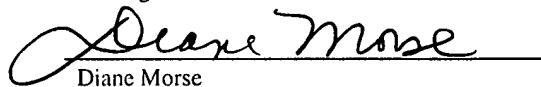
CERTIFICATE OF MAILING

"Express Mail" mailing label no: EL575422709US

Date of Deposit: September 14, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

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Assistant Commissioner for Patents
Washington, D.C. 20231



Diane Morse

TRANSMITTAL LETTER

Honorable Assistant Commissioner
for Patents
Box Patent Application
Washington, D.C. 20231

Dear Sir:

Enclosed for filing please find the patent application for an invention entitled,
**"REMOTE INCREMENTAL PROGRAM BINARY COMPATIBILITY
VERIFICATION USING API DEFINITIONS"**, filed on behalf of Sun Microsystems,
Inc., assignee from inventor Judith E. Schwabe, including Utility Patent Application

SUN-P4174

Transmittal, 71 pages of specification, 10 pages of claims, 34 sheets of drawing figures, and 1 page of Abstract. Also enclosed herewith are the Declaration & Power of Attorney. The attorney's Docket Number is SUN-P4174.

Kindly address all communications regarding this application to:

David B. Ritchie
D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640
Telephone (408) 441-1100

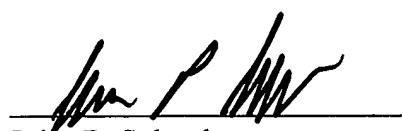
A check in the amount of \$768.00 is enclosed for the filing fee for a large entity, calculated as follows:

Basic Filing Fee (Large entity):	\$ 690.00
1 Additional Independent Claims:	\$ 78.00
<u>Additional Dependent Claims:</u>	<u>\$.00</u>
Total Filing Fee:	\$ 768.00

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 04-0025.

A duplicate of this page is enclosed.

Respectfully submitted,
D'ALESSANDRO & RITCHIE


John P. Schaub
Reg. No. 42,125

Dated: September 14, 2000

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